

3/01/02
JC658 U.S. PTO

PTO/SB/05 (08-00)

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Application Number	Not Assigned Yet
First Inventor	Richard N. Ellison
Title	METHOD AND SYSTEM USING ACOUSTIC EJECTION FOR PREPARING AND ANALYZING CELLULAR SAMPLE SURFACE
Attorney Docket Number	7610-0042.21

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [*Total Pages 41*]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (*if filed*)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [*Total Sheets 2*]
5. Oath or Declaration [*Total Pages 4*] (unexecuted)
 - a. Newly executed (original or copy)
 - b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
 - i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. Application Data Sheet. See 37 CFR 1.76
7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (*if applicable*)
 - a. Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. CD-ROM or CD-R (2 copies); or
 - ii. paper
 - c. Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of Attorney (*when there is an assignee*)
11. English Translation Document (*if applicable*)
12. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Priority Document(s) (*if foreign priority is claimed*)
16. Other: _____

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

■ Continuation-in-part (CIP) of prior application No.: 10/066,546, filed January 30, 2002

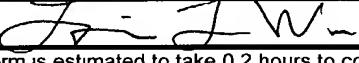
Prior application information: Examiner: Unassigned, Group / Art Unit: Unassigned; which is a

■ Continuation-in-part (CIP) of prior application No.: 09/784,705, filed February 14, 2001

Prior application information: Examiner: Unassigned, Group / Art Unit: Unassigned.

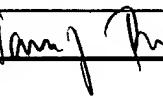
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

■ Customer Number of Bar Code Label	23980 <i>(Insert Customer No or Attach bar code label here)</i>		<input type="checkbox"/> Correspondence address below		
Name	Louis L. Wu				
Address	REED & ASSOCIATES				
	800 Menlo Avenue, Suite 210				
City	Menlo Park	State	CA	Zip Code	94025
Country	USA	Telephone	(650) 330-0900	Fax	(650) 330-0980
Name (Print/Type)	Louis L. Wu	Registration No. (Attorney/Agent)	44,413		
Signature			Date	March 1, 2002	

Burden Hour Statement: This form is estimated to take 0 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commission for Patents, Box Patent Application, Washington, DC 20231

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated below and is addressed to "Commissioner for Patents, Box Patent Application, Washington, DC 20231."			
"Express Mail" Mailing Label No.	EL 910 311 395 US	Date of Deposit	March 1, 2002
Name of Person Mailing Paper or Fee(Print/Type)	Sam Pen		
Signature		Date	March 1, 2002

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(Modified) PTO/SB/05 (12/97)

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Signature of Person Mailing Paper or Fee: Sam Pen

FEE TRANSMITTAL				Complete if Known					
				Application Number		Not Assigned Yet			
				Filing Date		Filed Herewith			
				First Named Inventor		Richard N. Ellison			
				Group Art Unit		Not Assigned Yet			
				Examiner Name		Not Assigned Yet			
				Attorney Docket Number		7610-0042.21			
TOTAL AMOUNT OF PAYMENT (\$862.00)				FEE CALCULATION (continued)					
METHOD OF PAYMENT (check one)				3. ADDITIONAL FEES					
<input checked="" type="checkbox"/> The commissioner is hereby authorized to charge any additional fees and credit any overpayments to: Deposit Account No.: Deposit Account Name: REED & ASSOCIATES				Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Charge the Issue Fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance					
<input checked="" type="checkbox"/> Payment Enclosed. <input type="checkbox"/> Check <input type="checkbox"/> Money Order <input type="checkbox"/> Other									
FEE CALCULATION									
1. FILING FEE									
Large Fee Code	Entity Fee Code	Small Entity Fee	Fee Description	Fee Due					
101	740	201	370	Utility filing fee	\$370.00				
102	330	206	165	Design filing fee					
104	510	207	255	Plant filing fee					
109	740	208	370	Reissue filing fee					
110	150	214	75	Provisional filing fee					
				SUBTOTAL (1)					
2. CLAIMS									
Fee from				Fee Due					
Total Claims	70	- 20 =	50	X \$ 9.00	\$450.00				
Independent Claims	4	- 3 =	1	X \$42.00	\$ 42.00				
Multiple Dependent Claims			X —						
Large Fee Code	Entity Fee Code	Small Entity Fee	Fee Description	Fee Due					
103	18	203	9	Claims in excess of 20					
102	84	202	42	Independent Claims in excess of 3					
104	280	204	140	Multiple Dependent Claim					
109	84	209	42	Reissue independent claims over original patent					
110	18	210	9	Reissue claims in excess of and over original patent					
				SUBTOTAL (2)	\$492.00				
*Reduced by Basic Filing Fee Paid					SUBTOTAL (3)				\$

SUBMITTED BY					Complete (if applicable)	
Typed or Printed Name		Louis L. Wu			Reg. Number	44,413
Signature		Sam Pen		Date	March 1, 2002	Deposit Account